



2611 #5

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

To Commissioner For Patents

Enclosed herewith is a Form PTO-1449, required copies of documents listed thereon, and a concise explanation of their relevance is described below or enclosed herewith per 37 CFR 1.97.

| | |
|------------------------|--------------------------|
| Application Number | 09/819,441 |
| Filing Date | MARCH 28, 2001 |
| First Named Inventor | LALITHA AGNIHOTRI ET AL. |
| Group Art Unit | 2611 |
| Examiner Name | |
| Attorney Docket Number | US 010107 |

These documents may be relevant in that they have been:

- ☐ considered in drafting the specification of the above-referenced application;
- ☐ cited in the specification of the above-referenced application;
- ☐ previously submitted or cited in U.S. patent application(s) _____ which are relied on for an earlier effective filing date under 35 U.S.C. 120 (no copy required); or
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- ☐ I hereby certify that these documents were first cited in any communication with a foreign Patent Office for a counterpart foreign application not more than three (3) months ago;
- ☐ otherwise a concise explanation of the relevance of each document is append hereto.
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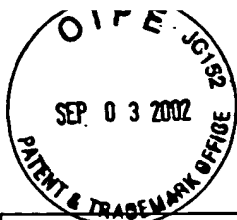
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

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|-------------------|-------------------|-----------------------------------|---------|
| Name (Print Type) | GREGORY L. THORNE | Registration No. (Attorney/Agent) | 39,398 |
| Signature | | Date | 8/28/02 |

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| Form PTO-1449 COMMERCE (REV. 7-80) | | U.S. DEPARTMENT OF PATENT AND TRADEMARK OFFICE | | Atty. Docket No. US 010107 | | Serial No. 09/819,441 | | | | | | | | |
| INFORMATION DISCLOSURE CITATION (Use several sheets if necessary) | | | | Applicant LALITHA AGNIHOTRI ET AL. | | | | | | | | | | |
| | | | | Filing Date 3/28/01 | | Group 2611 | | | | | | | | |
| U.S. PATENT DOCUMENTS | | | | | | | | | | | | | | |
| Ex. Int. | | Document Number | | | | | | | Date | Name | Class | Sub- class | Filing Date If Approp. | |
| | AA | 6 | 1 | 1 | 8 | 8 | 8 | 8 | 9/12/00 | CHINO ET AL. | 382 | 118 | | |
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| | AC | | | | | | | | | | | | | |
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| FOREIGN PATENT DOCUMENTS | | | | | | | | | | | | | | |
| | | Document Number | | | | | | | Date | Country | Class | Sub- class | Trans. Yes No | |
| | AG | | | | | | | | | | | | | |
| | AH | | | | | | | | | | | | | |
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| | AK | | | | | | | | | | | | | |
| OTHER (Including Author, Title, Date, Pertinent Pages, Etc.) | | | | | | | | | | | | | | |
| | AL | Smyth et al., "Surfing The Digital Wave Generating Personalised TV Listings Using Collaborative Case-Based Reasoning Research And Development. International Conference, July 27, 1999, pages 562-571 | | | | | | | | | | | | |
| | AM | | | | | | | | | | | | | |
| | AN | | | | | | | | | | | | | |
| Examiner | | | | | | | | | | Date Considered | | | | |
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